

# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER OF PATENTS AND TRADEMARKS P.O. Box 1450 Alexandria, Vizginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
09/990,568	11/21/2001	Shunsuke Takada	7266/66308/RDK	2638	
759	90 06/05/2003				
Robert D. Katz Cooper & Dunham LLP 1185 Avenue of the Americas			EXAMINER		
			PRINCE, FRED G		
New York, NY 10036			ART UNIT	PAPER NUMBER '	
			1724		
o			DATE MAILED: 06/05/2003		

Please find below and/or attached an Office communication concerning this application or proceeding.

					₩.				
0.0		Application No	о.	Applicant(s)					
	<b></b>	09/990,568		TAKADA, SHUNSUKE					
Office Action Summary		Examin r		Art Unit					
		Fred Prince		1724					
Period fe	The MAILING DATE of this communication or Reply	appears on the cov	er sheet with the co	orr spondence ad	dress				
THE - External control	IORTENED STATUTORY PERIOD FOR REMAILING DATE OF THIS COMMUNICATION of time may be available under the provisions of 37 CF SIX (6) MONTHS from the mailing date of this communication is period for reply specified above is less than thirty (30) days, and period for reply is specified above, the maximum statutory period for reply within the set or extended period for reply will, by some precious of the months after the new patent term adjustment. See 37 CFR 1.704(b).	ON. FR 1.136(a). In no event, ho n. a reply within the statutory n eriod will apply and will expiratute, cause the application	wever, may a reply be time ninimum of thirty (30) days e SIX (6) MONTHS from t n to become ABANDONED	ely filed will be considered timely he mailing date of this co					
1)⊠	Responsive to communication(s) filed on	05 August 2002 .			•				
2a) <u></u> ☐	This action is <b>FINAL</b> . 2b)⊠	This action is non-	final.						
3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.									
	ion of Claims	lion							
4)🖂	☑ Claim(s) 1-5 is/are pending in the application.								
5)[7]	4a) Of the above claim(s) is/are withdrawn from consideration.  Claim(s) is/are allowed.								
·	Claim(s) is/are allowed. ☑ Claim(s) <u>1-5</u> is/are rejected.								
	Claim(s) <u>1-5</u> is/are rejected.  Claim(s) is/are objected to.								
	Claim(s) are subject to restriction as	nd/or election requir	rement.						
	ion Papers								
9) 🗌	The specification is objected to by the Exan	niner.							
10)⊠ The drawing(s) filed on <u>29 April 2002 and 25 June 2002</u> is/are: a)□ accepted or b)⊠ objected to by the Examiner.									
	Applicant may not request that any objection	to the drawing(s) be h	eld in abeyance. Se	e 37 CFR 1.85(a).					
11)	The proposed drawing correction filed on $\_$	is: a) 🔲 appro	ved b)⊡ disapprov	ved by the Examine	er.				
If approved, corrected drawings are required in reply to this Office action.									
12)⊠	The oath or declaration is objected to by the	e Examiner.							
Priority (	under 35 U.S.C. §§ 119 and 120								
13)	Acknowledgment is made of a claim for for	reign priority under	35 U.S.C. § 119(a)	-(d) or (f).					
a)	☐ All b)☐ Some * c)☐ None of:								
	1. Certified copies of the priority documents have been received.								
	2. Certified copies of the priority documents have been received in Application No								
* (	3. Copies of the certified copies of the application from the Internationa See the attached detailed Office action for a	l Bureau (PCT Rule	17.2(a)).		Stage				
14)[] /	Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).								
<ul> <li>a) ☐ The translation of the foreign language provisional application has been received.</li> <li>15)☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.</li> </ul>									
Attachmen	t(s)								
2) 🔲 Notic	ce of References Cited (PTO-892) ce of Draftsperson's Patent Drawing Review (PTO-948) mation Disclosure Statement(s) (PTO-1449) Paper No			(PTO-413) Paper No( atent Application (PTC					

# **DETAILED ACTION**

# **Drawings**

1. The drawings are objected to under 37 CFR 1.83(a). The drawings must show every feature of the invention specified in the claims. Therefore, the J- or U-shaped bodies must be shown or the feature(s) canceled from the claim(s). No new matter should be entered.

A proposed drawing correction or corrected drawings are required in reply to the Office action to avoid abandonment of the application. The objection to the drawings will not be held in abeyance.

### Oath/Declaration

2. The oath or declaration is defective. A new oath or declaration in compliance with 37 CFR 1.67(a) identifying this application by application number and filing date is required. See MPEP §§ 602.01 and 602.02.

The oath or declaration is defective because: It does not identify the citizenship of each inventor.

# Claim Rejections - 35 USC § 102

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- (e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States

only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

2. Claims 1-2 are rejected under 35 U.S.C. 102(b) as being anticipated by Hofmann.

Hofmann, directed toward a method and apparatus for treating wastewater, teaches an aerobic, nitrifying zone (8; col. 1, lines 45-52) near an inlet (3) and an anaerobic, denitrifying zone (11; col. 1, lines 53-58) near an outlet (4).

3. Claims 1-2 are rejected under 35 U.S.C. 102(e) as being anticipated by Tipton et al.

Tipton et al., directed toward a method and apparatus for treating wastewater, teach an aerobic, nitrifying zone (col. 4, lines 8-10) near an inlet (12) and an anaerobic, denitrifying zone (col. 4, line 11) near an outlet (22).

# Claim Rejections - 35 USC § 103

- 4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 5. Claims 3-4 are rejected under 35 U.S.C. 103(a) as being unpatentable over either Hofmann or Tipton et al. in view of Rhee.

Hofmann or Tipton et al. are described above. Neither reference discloses using a series of tubes for zigzag flow.

Rhee discloses J-shaped tubes (48a-48c) in order to create zigzag flow (Fig. 1).

Application/Control Number: 09/990,568

Art Unit: 1724

It would have been obvious for the skilled artisan to have modified the method and apparatus of either Hofmann or Tipton et al. such that it included J-shaped tubes in order to create zigzag flow, as suggested by Rhee.

6. Claims 3 and 5 are rejected under 35 U.S.C. 103(a) as being unpatentable over either Hofmann or Tipton et al. in view of Perry.

Hofmann or Tipton et al. are described above. Neither reference discloses using a series of tubes for zigzag flow.

Perry discloses U-shaped tubes (36, 37) in order to create zigzag flow (Figure).

It would have been obvious for the skilled artisan to have modified the method and apparatus of either Hofmann or Tipton et al. such that it included U-shaped tubes in order to create zigzag flow, as suggested by Perry.

# Conclusion

7. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. References are cited of interest to show the state of the art.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Fred Prince whose telephone number is (703) 306-9169. The examiner can normally be reached on Monday-Thursday, 6:30-4:00; alt. Fridays 6:30-3:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Duane Smith can be reached on (703) 308-3792. The fax phone numbers

Application/Control Number: 09/990,568

Art Unit: 1724

Page 5

for the organization where this application or proceeding is assigned are (703) 872-9310 for regular communications and (703) 872-9311 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 308-0661.

FRED G. PRINCE PRIMARY EXAMINER

May 29, 2003